

IN THE UNITED STATES RECEIVING OFFICE

Applicant : Yoshihiro Sowa et al.
 Serial No. : 09/937,162
 Filed : September 21, 2001
 Title : METHOD FOR SCREENING ANTICANCER AGENT

BOX PCT

U.S. Patent and Trademark Office
 P.O. Box 2327
 Arlington, VA 22202

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Responsive to the Notification of Missing Requirements under 35 U.S.C. 371 mailed August 30, 2002 (a copy of which is enclosed), Applicant as a large entity submits herewith the following:

- ☒ A copy of the Submission of Missing Parts filed March 7, 2002, including a copy of a Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63 (4 pages) and a copy of the check for the \$130.00 fee;
- ☒ A Preliminary Amendment (4 pages);
- ☒ A Verified Statement under 37 CFR §1.821(f); and
- ☒ A paper copy (5 pages) and computer-readable copy (1 diskette) of the Sequence Listing.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050, referencing attorney docket number 06501-085001.

Respectfully submitted,

Date:

Oct 30, 2002

Janis K. Fraser

Janis K. Fraser, Ph.D., J.D.
 Reg. No. 34,819

Fish & Richardson P.C.
 225 Franklin Street
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CERTIFICATE OF MAILING BY EXPRESS MAIL

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October 30, 2002
 Date of Deposit

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Commissioner for Patents
Washington, D.C. 20231

COPY

SUBMISSION OF MISSING PARTS OF APPLICATION

This application was filed without a declaration signed by the inventors. A Notification of Missing Requirements was never issued. In order to complete this application, applicant as a large entity submits herewith the following:

- ☒ Payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration.
- ☒ A check in the total amount of \$130; and
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63.

It is understood that this perfects the application and no additional papers or filing fees are required.

CERTIFICATE OF MAILING BY EXPRESS MAIL

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I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

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Signature

Leroy Jenkins
Typed or Printed Name of Person Signing Certificate

Applicant : Yoshihiro Sowa et al.
Serial No. : 09/937,162
Filed : September 21, 2001
Page : 2

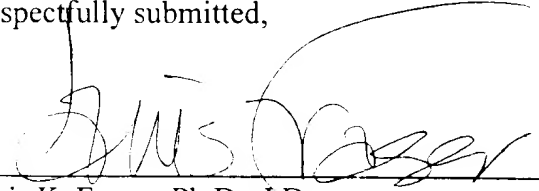
Attorney's Docket No.: 06501-085001

Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

Feb. 26, 2002


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JKF ✓



UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY DOCKET NO.
09/937,162	Yoshihiro Sowa	06501-085001

INTERNATIONAL APPLICATION NO.
PCT/JP00/01778

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DOCKETED BY PRACTICE SYSTEMS	IA FILING DATE	PRIORITY DATE
Action Code <u>2M PCT Miss Req</u>	03/23/2000	
Base Date <u>8/30/02</u>		
Due Date <u>10/30/02</u>	371	CONFIRMATION NO. 4957
Deadline <u>3/30/03</u>		FORMALITIES LETTER
Initials <u>PEC</u> On		

Date Mailed: 08/30/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

RECEIVED

SEP 06 2002

FISH & RICHARDSON, PC

BOSTON RECEIVED By Billing Secretary

Due Date:	<u>10/30/02</u>
Deadline:	<u>3/30/03</u>
Initials:	<u>LAP</u>

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the

requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
 - APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
- For Rules Interpretation, call (703) 308-4216
 - To Purchase PatentIn Software, call (703) 306-2600
 - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

MAMIE P PERSON

Telephone: (703) 305-3737

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO	INTERNATIONAL APPLICATION NO	ATTY DOCKET NO
09/937,162	PCT/JP00/01778	06501-085001



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